

**yUNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

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In re : **Chapter 11**  
LEHMAN BROTHERS HOLDINGS INC., *et al.*, : **Case No. 08-13555 (SCC)**  
Debtors. : **(Jointly Administered)**  
: **Ref. Docket Nos. 59894,**  
: **59900, 59902, 59904, 59905**  
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**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Corporate Restructuring, LLC<sup>1</sup>, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On August 27, 2019, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated August 27, 2019, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

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<sup>1</sup> Epiq Bankruptcy Solutions, LLC, is now known as Epiq Corporate Restructuring, LLC.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Forrest Kuffer

Forrest Kuffer

Sworn to before me this  
28<sup>th</sup> day of August, 2019

/s/ Diane M. Streany

Notary Public, State of New York

No. 01ST5003825

Qualified in Westchester County

Commission Expires November 2, 2022

## **EXHIBIT A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To:

DEUTSCHE BANK AG, LONDON BRANCH  
TRANSFEROR: MONARCH ALTERNATIVE SOLUTIONS MASTER FUND LTD.  
ATTN: SIMON GLENNIE  
WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET  
LONDON EC2N 2DB  
UNITED KINGDOM

Please note that your claim # 41894 in the above referenced case and in the amount of  
\$14,000.00 allowed at \$13,360.38 has been transferred (unless previously expunged by court order)

BAR(23) MAILID \*\*\* 000148764924 \*\*\*

LBH TRFNTC (MERGE2, TXNUM2) 4000190865



BKM HOLDINGS (CAYMAN) LTD.  
TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH  
C/O DAVIDSON KEMPNER-J.DONOVAN  
520 MADISON AVENUE, 30TH FLOOR  
NEW YORK, NY 10022

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 59902 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 08/27/2019

Vito Genna, Clerk of Court

/s/ Betina Wheelon

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on August 27, 2019.

## **EXHIBIT B**

[illegible]

Claim Name	Address Information
BKM HOLDINGS (CAYMAN) LTD.	KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
BKM HOLDINGS (CAYMAN) LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH (UK), C/O DAVIDSON KEMPNER-J.DONOVAN, 520 MADISON AVENUE, 30TH FLOOR, NEW YORK, NY 10022
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH ALTERNATIVE SOLUTIONS MASTER FUND LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH DEBT RECOVERY MASTER FUND LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH OPPORTUNITIES MASTER FUND LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS LP., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II-A LP, ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: P MONARCH RECOVERY LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II-A LP, ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II LP, ATTN: SIMON GLENNIE,

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DEUTSCHE BANK AG, LONDON BRANCH	WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: P MONARCH RECOVERY LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH DEBT RECOVERY MASTER FUND LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH OPPORTUNITIES MASTER FUND LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS LP., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II-A LP, ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II LP, ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET, LONDON EC2N 2DB UNITED KINGDOM
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DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: MONARCH CAPITAL MASTER PARTNERS II LP, ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM
DEUTSCHE BANK AG, LONDON BRANCH (UK)	TRANSFEROR: P MONARCH RECOVERY LTD., ATTN: SIMON GLENNIE, WINCHESTER HOUSE, 1 GREAT WINCHESTER ST, LONDON EC2N 2DB UNITED KINGDOM
GARDNER, CHRISTOPHER JOHN	TRANSFEROR: UNION BANCAIRE PRIVEE, UBP SA, C/O TRIDENT TRUST COMPANY (I.O.M.),, 12-14 FINCH ROAD, DOUGLAS IM1 2PT ISLE OF MAN
HONGKONG AND SHANGHAI BANKING CORP LTD, THE	TRANSFEROR: VAN DER WERF, K.W., PRIVATE BANKING DIVISION - ATTN: ALICE SO, LEVEL 13 & 14, NO. 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE	TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, HONG KONG BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE	BRANCH; TRANSFEROR: HSBC PRIVATE BANK (SUISSE) SA, SINGAPORE BRANCH, ATTN: RAYMOND NG, LEVEL 13 & 14, 1 QUEEN'S ROAD CENTRAL, HONG KONG HONG KONG
LONDON INTERNATIONAL CAPITAL LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, ATTN: MARCUS VON THIELE, 20-22 WENLOCK ROAD, LONDON N1 7GU UNITED KINGDOM
LONDON INTERNATIONAL CAPITAL LTD.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LTD., SINGAPORE, ATTN: MARCUS VON THIELE, 20-22 WENLOCK ROAD, LONDON N1 7GU UNITED KINGDOM
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: RBS COUTTS BANK AG, 96-98 RUE DU RHONE, GENEVA 1211 SWITZERLAND
VAN DER WERF, K.W.	TRANSFEROR: HONGKONG AND SHANGHAI BANKING CORPORATION LIMITED, THE, ROLDERDIEPHOF 120, 3521 DB UTRECHT NETHERLANDS

<b>Total Creditor Count 92</b>
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